

(;+,%,7 3%' 72
2:1(5 &2175\$&725 &2175\$&7
%< \$1' %(7:(1
81,9(56,7< 2) 6287+(51 ,1', \$1\$
\$1' BBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBB
6833/(0(17\$5< &21',7,216

7KH IROORZLQJ VXSSOHPHQWV PRGLI\R FKIDQ JHH CHUDHOW & RQ & PW BRQD
&RQWUDFW IRU &RQVWUXFWLRQ' \$,

'HWDLOV RI SUHSDUDWLRQ FRQWLOXFMQRRP SDQWMDGODW
WKH &RQWUDFW 'RFXRURR WWR WKIDOE HFRVHS UBIFVHFVLYRI W
WUDGHV DQG WKDVQZVWUPWQRQLSHWRIRLGGW VFODVY EMDRLV
VR DV WR DFFRPSDQMKUDVQHFDDVV ILQLVKHG MRE

:KHUH VSHFLILF UHFRJQLJHG VWDQGDWIG FDDULR QVQWL RQKHD
EH LQWHUSUHWHG PWHQDWW WKIDOE HETXIFURP SOLHG ZLWK

2:1(56+,3 \$1' 86(2) '5\$6, 63(&,),&\$7,216 \$1' 27+(5
,167580(176 2) 6(59,&(

'HOHWH DQG DGG WKH IROORZLQRQV7KBIQJDRZLQHV 'RSMPHIQ
SUHSDUHGE\WKH \$UFKLWHFW DUH LQWLVFUXPWFQWRXK WKHF \$UW
:RUN WR EH H[HFXWHG E\WKH &RQWUDRWQV DDLGH'VDZLEHG
6SHFLILFDWLRQV DQG WWR RHUHQVWFXDQDQG GMWVXFKLQK WKHLU
DQG SHUPLW WKH XVH RI FRSLHV LQFOXGLQJUDZLSURGXFLE
6SHFLILFDWLRQV DQGWWRWKIHGHJQLVWH XPSHSDUWBFEM WQFRQQHFV
ZLWK WKH 2ZQHUVV WREVSURVFWLVRQLSDQFH ZKHG 'RDEKQJV
6SHFLILFDWLRQV DQGWWRWKIHGHJQLVWHXPSHSDUWBFEM WQFRQQHFV
WKH &RQWUDFWRU ULZLWLRXWHQV KFR QSUHQVURKLRW KFW2ZDGG WKH
&RQWUDFWRU VKDOO WDNH VXFK DFWLRQ VDKHED\XVH QRFHVMCH
SURMHFWV 7KH &RQWUDFWRUWV 6XKIE DQGFPOWUHDUFDUVDQG
HTXLSPHQW VXSSOLHUV DUH JUDQWHG WD WLSWLVGHXGIDLDSQVHFV
SRUWLRQV RI WKH LBDZLQJQV 6SDGLR WKIHGHJQLVWH XPSHSDUW \$ULDWH
DQG IRU XVH LQ H[HFXWLRQ RI WKHLU HZQVM XGGBPLW WHDQRQR
GLVWULEXWLRQV QHFHILVLDLQ WVRJXQDHWRRU UHUTXLMKIPHQSAKUSRV
UHODWLQJ WR PHHW RIILFLDO UHJXSDXB BIVHMTXHLQDWHHQJWWR
FRPSOHWLRQ RI WKH 3URMHFW DUH QRWLQRGHEURBQVWVQXRIGW
2ZQHUVV FRS\ULJKW RU RWKHU UHVHUYHG ULJKWV

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'HOHWH WKLW 6XESDUDJUDSK LQ LWV HQWLUHW\

,1)250\$7,21 \$1' 6((569 5848,5(' 2) 7+(2:1(5

'HOHWH DQG DQGRZLWJ WKHFHISVQGRHSVWWRWVHDSRQWLELOLWV
RI WKH &RQWUDFWRU XQGHU WKH &RQWUDFWURGHXQGHV6HLQV
LQFOXGLQJ WKRWH FKDUJHV

DOO

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'HOHWH WKH VHF RQGWVHQSHQFLVLRQ DQG DGG WKH

7KHUH PXVW EH D FOHBRZQHWWV DQS/IRU WKHU LDVORU MGR PDK H
8QLYHUVLW\ RI 6RXWSHUHQW QWQ KBIQXDPW/RKHU/ IPVWW BLEDYLLQ
FDVH RI D EDQNUXSWF\ RU VRPH RWKHUV OIRJLQ JS WRKHOHP
PDWHULDOV

&RVWV LQFXUUHGWE WRKHO \$S FIKWW PWWHULD JH LQK B OIOV LWH
EH SDLG E\ WKH &RQWUDFWRU

6XEVHTXHQW SD\ UHTXHVWV VKDOOLUWFRPLWH ZWKFK PZHWHU
DSSURYHG RQ SUHYLRXV SD\ UHTXHVWV DQG UHPDLQ LQ RI

:KHQ D SDUWLDO SD\PHQW LV DOO FZLHG URHG DFC R/KQHW VLWPH
WKH :RUN RU LQ WKH YLFLQLW\ WKHUH URU RXIQ W/KH SR
&RQWUDFWRU EXW QRW \HW LQFRUSRUD

3ODFH D SHULRG DIWHU 3FRPSOHUHP'DLQ GOILLQ RI WDKHG VGHVOMH VL
6XESDUDJUDSK DQG UHSODFH ZLWK WKRII NRXCFKRZDQJWLDO R3F@XW
RU XVH WKH \$UFKLWHFW VKDOO DV

\$GG WKH IROORZLQJ WR 6HFWLRQ DTKB QRQVMBSDWRDJUBSOO³
PDLQWDLQ D UDQGRPRJGDRJ DVHG/ WIKQJ SSURJHUXPF N G DVOR ZEULWLQJ
FRS\ RI ZKLFK VKDOO ZEHHSUSRYLGRH GV RV RM QM FRI PW K B F R B N 7KH
GUXJ WHVWLQJ SUBUQDPVWGBDDWF B QVYWKDWG W\XJV S\YQIRU WKH IR
GUXJV DSPKHVRFDLQHV RSLDQWPD 3&3&DQ\$070 WKH
HPSOR\HHV RI WKH H & R Q E W D F W R U R D D W Q Q H D Q G D Q Q X I D D V W H R Q H
WZHOIWK RI WZHQW\ ILYH \$HWKHH Q W Q W U D F W B E H W W D R O V D G H Z R U N I R
VHOHFWHG UDQGRPO\WHDFMLDQGVK H R U L Q J V S H V R W U D P R S H U D W H G E
WKH &RQWUDFWR S W R K D U O V F I R Q H V G L Q F D I S R Q L G F S F O P S I R Q H Z K V R I D L O
WKH GUXJ WHVW WKDW PHHWV DW OHDVW WKH IROORZLQJ PLQL

WKH ILUVW SRVHW XOHV W L Q V W W K I D D O \ R I L Q H D L S H E L O L W \ I R U
DQG XSRQ UHWXUQLQJ WR ZRUN RQHXS WHH\WV R Q X Q D Q Q R X C

D VHFRQG SRVLWHYXOWHV W D K O L O R G V R I L Q H D L S H E L O L W \ I R
ZRUN DQG XSRQ UHWXUQLQJ WR ZRUN R Q X S W B V W R I Q X Q D

D WKLUG SRVLWLYH WHVW VKDOR U Q H V Q D M E L O D W R Q R U Z R U
DQG XSRQ UHWXUQLQJ WR ZRUN RQHXS WHH\WV R Q X Q D Q Q R X C

DQ\ VXEVTXHQW S R D O V L E H H W U H H D W K G U M K S I R W D P H L Y C H V D H V W

\$W WKH GLVFUHWLW R U R I W K K H G L R G W S D P O H L Q F O X G H D E R U H V H Y H U
GLVFLSOLQH LQFOXGLQJ EXW QRWR CHPL L V S G Q V R H T X L V P W V E \ D V K R H
2ZQHU &RQWUDFWR ZU V W D C H Q S S U R Y L I G R I R Q W K O D Q V X I D V D Q R G U D O O
DSSOLFDEOH H P S I C H R S R Q W R J D F W R U

(0(5*(1&,(6

7R WKH HQG RI WKLW 3DUDJUDSK D G V W S C H U D R I O O B K L V K I D O C I F E M K E R Q V L
UHOLHYLQJ WKH &RQWUDFWRU IURPPM K H H O F L W V D E R Y H H M S R I Q W L B E O L
ZLWK QRUPDO GLOLJHQFH SODQQLQJ HD Q R G U M W K H F U C H T X H L U H X S H K Q G L P W L
&RQWUDFW FRX Q J K D Y H I Q E R H Q S U H Y H Q W H G

\$57,&/ (,1685\$1&(\$1' %21'6

&2175\$&725 16 /, \$%,857\$4 & 16 'HOHWH WKLVL3DVU D Q W D I S K H W Q D Q G \$ G
WKH IROORZLQJ

3,QVXUDQFH UHTXLUPHQWV DQG REOHJD Q V L R Q D Q F K I D O C H F E M L S Q U
WKH 2ZQHU &RQWUDFWR V F R U V & R Q W R O F L W U L D F O R I E S R U H D M U H G Q R H

2:1(5 16 /, \$%, /, 7 < ,1685\$1& W H W K L V 3 D H U W U D B W L Q L W V

3523(57 < ,1685\$1&(W H O L H W 3 D U D J U D S K L Q L W V H Q W L U H W \

\$57,&/ (81&29(5,1* \$1' &255(&7,21 2) :25.

&255(&7,21 2) :25.

'HOHWH WKLW SURYLVLQR HQWL³U H O K L Q Q G B Q G U W K D I W R I C
ZULWWHQ QRWLFH IURP WKH \$UFKLWHWWRGRVKV D B Z H Q H U
GDIV ZKHQ QRWLFH LV JLYHQ DIWHU HLQ Q B W S F P Q I R W P W R D V
WKH &RQWUDFW 'RFXPHQWV RU LPPH Q I R D V F R Q Y R L S P R Q Q R I H D O
FRQVWLWXWHV D WKUHDW WR WKH & B I Q W U D F I W S H U V Z L O W K R X
ZDLWLQJ IRU WKH UHVROXWLRQ RI G E V I S X F W H W R W K R D W U I P D W H
VXFK QRQFRQIRUPDQFH VKDOO WKHUH D U W H B W X W K F K W V E

QRQFRQIRUPDQFH WR WKH VWLWLFHW VDOO KFR ZOHWH
QHFHVVDU\ FRUHFWRQV XÇU €hÇ21 seH

7R SHUIRUP WKURXJK RWKHUV DOO WRRU ESHD UGR QRH ~~D/C~~ WRRU

SUHVHUYH WKH :RUN DQG XQOHVV RWKHU~~Z~~HUPLQDWHFWH

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7KH RQO\ 'HOD\ V IRJQZMLDFKWRKHZ&OO HEHW HQWLRQDORIG WKH D
WLPH IRU FRPSOHWLRQ ZLOO EH 'HOD\ WKFDXZIQGUE\ WKH

7KH &RQWUDFWWR UDDFQGR 6 XEVFRDOR UL Q G/RHOLWLWIDPHLQV IRU HP
SODFHG E\ WKHP RU RQ DWKHH LWK DMK Q XVOZLLOHGUDHS HQ YHDFRQVLGH
IRU HPSOR\PHQW ZLWKIR XWHOHLDJDBG QVQRQD DQHR URLLQ

WKH SDUWLGLYHDFHQ RZFHSSS OMKPHQWDBQ &RQLW

% \
6WHYH %ULGJHV QURHU 3UHVLGHQ 3ULQWHG 1DPH
)LQDQFH DQG \$GPLQLVWUDWLRQ 7LWOH

% \ . L Q G U D 6 W U X
0 D U N H W L Q J U D