



**Notes:**

- a. Your USI responsibilities include, but are not limited to, your involvement as a Financial Manager or Primary/Principal Investigator, in the selection of any vendor, contractor, subcontractor, or consultant. Your involvement in the selection of any such party who is a relative must be disclosed.
- b. Completion of this form and the associated USI Significant Financial Interest Disclosure Form, when applicable, to OSPR assists the University in meeting its federal compliance responsibilities under 42 CFR 50 Subpart F. Disclosures provided here will also assist University employees in meeting the following requirements under Indiana law:

Indiana Code 35-44.1-1-4 states that a public servant commits a conflict of interest, a Level 6 felony, if the public servant knowingly or intentionally has a pecuniary [financial] interest in, or derives a profit from, a contract or purchase connected with an action by the governmental entity served by the public servant.

It is not an offense under Indiana Code if the public servant makes a full and truthful disclosure, in writing, to the governmental entity of a conflict or potential conflict. For more information on the policies and process, please see University Handbook [section F.28 Investigator Significant Financial Interest Disclosure Policy](#) and [section F.38 Conflicts of Interest: Compliance Disclosure Requirements](#).